

New York State Deferred Compensation Plan Balanced Fund Management Services Search

RFP Questions and Answers

- 1. For the submission, I see that one PDF document is preferred. Is it acceptable for any Excel files to be excluded from the PDF and included separately if needed?**

The preference is for one PDF document including putting an Excel in PDF format. If not possible, yes, multiple files can be submitted.

- 2. For section 1 (Firm History) and section 2 (Diversity and Inclusion) we typically answer those questions from the perspective of our firm only. If we are proposing a strategy with a subadvisor, is the expectation that we provide answers for both our firm and the subadvisor?**

Please include the section 1 and section 2 information for your firm.

- 3. The body of the RFP requests data using 9/30/24, while page 27, section VI Fees reflects AUM as of 6/30/24. Could you confirm whether this is intentional, and if not, the date we should use.**

This is not intentional. In your response to *Section VIII. Fees*, please include AUM data as of 9/30/24. Should 6/30/24 AUM data be submitted in response to *Section VIII. Fees*, we will pull AUM data from your response to question 28 (which requests product and vehicle AUM data as of 9/30/24).